

## ASSIGNMENT 2 - SOLUTIONS

**Exercise 1.** Determine the parameters  $(n, k, d)$  of the binary code

$$C = \{00001100, 00001111, 01010101, 11011101\}$$

*Solution.*  $n = 8, k = 3, d = 2$  □

**Exercise 2** ( $A(n, d)$ , extending, puncturing, expurgating). Define the intersection of length  $n$  binary vectors  $x$  and  $y$  to be the vector  $x * y = (x_1y_1, x_2y_2, \dots, x_ny_n)$ .

1. Show that

$$wt(x + y) = wt(x) + wt(y) - 2wt(x * y)$$

where  $wt(x)$  denotes the Hamming weight of  $x$ .

2. Show that  $A(n, d) \leq A(n - 1, d - 1)$ . Hint: consider ‘puncturing’, that is removing a common coordinate from every codeword.
3. Show that  $A(n, 2r - 1) = A(n + 1, 2r)$  where  $A(n, d)$  denotes the largest number of length  $n$  codewords with minimum distance  $d$ . Hint: consider ‘extending’ codewords by adding a parity check bit, i.e.,  $x_1, x_2, \dots, x_n$  becomes  $x_1, x_2, \dots, x_n, \sum x_i$ .
4. Show that  $A(n, d) \leq 2A(n - 1, d)$ . Hint: consider dividing codewords into two classes, those beginning with a 0 and those beginning with a 1.

*Solution.* 1. Immediate

2. If we delete a coordinate from an  $(n, M, d)$  code ( $n$  refers to the codeword length,  $M$  to the number of codewords, and  $2r - 1$  to the minimum distance), we get an  $(n - 1, M, \geq d - 1)$  code, hence  $A(n, d) \leq A(n - 1, d - 1)$ .
3. Let  $\mathcal{C}$  be an  $(n, M, 2r - 1)$  code. By adding an overall parity check bit we get an  $(n + 1, M, 2r)$  code since the minimum distance must be even by 1. and that adding a parity check cannot increase the minimum distance by more than 1. Therefore  $A(n, 2r - 1) \leq A(n + 1, 2r)$ . Conversely, deleting one coordinate gives an  $(n, M, d \geq 2r - 1)$  code (see 2.), hence  $A(n, 2r - 1) \geq A(n + 1, 2r)$ .
4. Consider an  $(n, M, d)$  code. Using the hint, consider removing the smallest of the two classes. The remaining class has at least  $M/2$  codewords and its minimum distance is at least  $d$ . Therefore  $A(n, d)/2 \leq A(n - 1, d)$ . □

**Exercise 3.** For each of the following codes

$$C_1 = \{00000, 01010, 00001, 01011, 01001\}$$

$$C_2 = \{000000, 101000, 001110, 100111\}$$

$$C_3 = \{0000, 1100, 1010, 1001, 0110, 0101, 0011, 1111\}.$$

tell if it is linear and evaluate the parameters  $(n, k, d)$ .

**Exercise 4.** The dual of an  $[n, k]_q$  code  $\mathcal{C}$  is the set

$$\mathcal{C}^\perp = \{c \in \mathbb{F}_q^n : \langle x, y \rangle = 0 \text{ for all } y \in \mathcal{C}\}$$

( $\langle \cdot, \cdot \rangle$  denotes the standard “scalar” product).

Show that if  $G$  and  $H$  are the generator and parity matrices, respectively, of  $\mathcal{C}$ , then  $H$  and  $G$  are the generator and parity matrices, respectively, of  $\mathcal{C}^\perp$ .

*Solution.* For any  $x, x'$  in the message spaces of  $\mathcal{C}$  and  $\mathcal{C}^\perp$ , respectively, we have

$$\langle xG, x'H \rangle = xGH^T x' = 0$$

since  $GH^T = 0$  (see Lemma in the course). Therefore  $H$  is the generator matrix of  $\mathcal{C}^\perp$  and  $G$  its parity matrix (since  $HG^T = (HG^T)^{TT} = (GH^T)^T = 0$  by the same lemma).  $\square$

**Exercise 5.** Let  $C_1$  and  $C_2$  be an  $[n, k_1, d_1]$  and an  $[n, k_2, d_2]$  code, respectively. Let  $C_1|C_2$  be the code consisting of all codewords of the form

$$(u, u + v) = (u_1, u_2, \dots, u_n, u_1 + v_1, u_2 + v_2, \dots, u_n + v_n)$$

with  $u = (u_1, u_2, \dots, u_n) \in C_1$  and  $v = (v_1, v_2, \dots, v_n) \in C_2$ . Show that  $C_1|C_2$  is an  $[2n, k_1 + k_2, \min\{2d_1, d_2\}]$  code. *Hint.* consider the cases  $v = v'$  and  $v \neq v'$ . For the second case use the triangle inequality.

*Solution.* That  $C_1|C_2$  has length  $2n$  and dimension  $k_1 + k_2$  is obvious. Let us consider the minimum distance. If  $a = u, u + v$  and  $b = u', u' + v'$  are different codewords then  $d(a, b) = d(u, u') + d(u + v, u' + v')$ . Using this we get

- If  $v = v'$  then  $d(a, b) \geq 2d_1$
- If  $v \neq v'$  then

$$\begin{aligned} d(a, b) &= wt(u - u') + wt(u + v - u' - v') \\ &= wt(u' - u) + wt(u + v - u' - v') \\ &\geq wt(u - u' + u + v - u' - v') \\ &= wt(v - v') \\ &\geq d_2 \end{aligned}$$

This shows that the minimum distance of  $C_1|C_2$  is  $\geq \min\{2d_1, d_2\}$  and it is easy to check that this bound is indeed achievable.  $\square$

**Exercise 6.** In this exercise we show the existence of linear codes over  $[q]$ ,  $q \geq 2$ , which achieve the Gilbert-Varshamov bound. To that aim we show the existence of a full rank generator matrix  $G$  of dimension  $k \times n$  such that

$$k = (1 - H_q(\delta) - \varepsilon)n$$

and such that

$$wt(mG) \geq d$$

for any  $m \in \mathbb{F}_q^k$ .

1. Pick  $G$  randomly such that each of its elements is independently chosen with the uniform distribution over  $[q]$ . Fix  $m \neq 0$ . We first show that for such a random  $G$ ,  $mG$  is a uniformly chosen vector over  $[q]^n$ .
  - (a) Let  $X_i$  denote the  $i$ -th symbol of the  $n$ -vector  $mG$ . Show that  $X_i$  is independent of  $X_j$  for  $i \neq j$ .
  - (b) Let  $X_i = \sum_{j=1}^k m_j G_{ji}$ . Since  $m \neq 0$ , at least one of its elements is non-zero. Say  $m_\ell$  is the first non-zero element. Thus we can write  $X_i = m_\ell G_{\ell i} + \sum_{j=\ell+1}^k m_j G_{ji}$ . Using this, show that  $X_i$  is uniformly distributed over  $[q]$  by conditioning over the possible realizations of  $G_{\ell+1,i}, G_{\ell+2,i}, \dots, G_{k,i}$ .

2. Deduce that

$$Pr[wt(mG) < d] \leq \frac{q^{nH_q(\delta)}}{q^n}.$$

Hint.  $Vol_q(d-1, n) \leq q^{nH_q(\delta)}$ .

3. Deduce that  $Pr(\exists m : wt(mG) < d) \leq q^{-\varepsilon n}$  for some appropriate choice of  $k$ .
4. Conclude the proof.

*Solution.* 1. (a) Holds since  $X_i$  and  $X_j$  involve different columns of  $G$  and that these columns are independent.

(b) We have

$$\begin{aligned} P(X_\ell = x) &= \frac{1}{q^{k-\ell}} \sum_{(g_{\ell+1,i}, g_{\ell+2,i}, \dots, g_{k,i})} P(X_\ell = x | (G_{\ell+1,i}, G_{\ell+2,i}, \dots, G_{k,i}) = (g_{\ell+1,i}, g_{\ell+2,i}, \dots, g_{k,i})) \\ &= \frac{1}{q^{k-\ell}} \sum_{(g_{\ell+1,i}, g_{\ell+2,i}, \dots, g_{k,i})} \frac{1}{q} \\ &= \frac{1}{q}. \end{aligned}$$

2. Holds because of 1.

3. Holds by a union bound over  $m$  and by letting  $k = (1 - H_q(\delta) - \varepsilon)n$ .
4. By the previous step, and because the matrix  $G$  is uniformly distributed, as  $n \rightarrow \infty$  the fraction of the matrices satisfying the desired property tends to one. □

**Exercise 7.** Is the code  $C = \{000, 110, 011, 101\}$  MDS?

*Solution.*  $n = 3, k = 2, d = 2$ , hence  $d = n - k + 1$  and it is an MDS code. □

**Exercise 8.** Consider an  $[n, k, d]$  MDS code over  $\mathbb{F}_q$ . Show that

1. the number of codewords of weight  $d$  is

$$N_d = \binom{n}{d}(q-1).$$

Hint. Pick a subset of  $k - 1$  coordinates and fix the corresponding values to zero. Pick any other coordinate and let the symbol value in this coordinate run through all  $q$  symbols in  $\mathbb{F}_q$ .

2. Show that the number of codewords of weight  $d + 1$  is

$$N_{d+1} = \binom{n}{d+1} \left( (q^2 - 1) - \binom{d+1}{d}(q-1) \right).$$

*Solution.* 1. Because the code is MDS, for any given  $k$  coordinates, the components correspond to codewords in a one-to-one manner, that is they span every of the  $q^k$  components. Now, pick arbitrary  $k - 1$  components and fix the corresponding values to zero. Because of the previous argument, this set of  $k - 1$  zero components is consistent with at least one other codeword. Now, pick another component. To any non-zero value of this component corresponds a unique codeword whose weight is at most  $n - (k - 1)$ , but since the minimum weight is  $d$ , they all have weight  $d$ . Hence, for each subset of  $k - 1$  coordinates we get  $q$  non-zero codewords of weight  $d$ . In total we thus have  $(q-1)\binom{n}{k-1} = (q-1)\binom{n}{d}$ .

2. Consider any subset of  $d + 1 = n - k + 2$  coordinates. Take two of these coordinates and combine them with the remaining  $k - 2$  coordinates to form an information set. Fix the components in the  $k - 2$  coordinates to zero, and let the remaining two coordinates run freely through  $\mathbb{F}_q$ . These  $q^2$  information set combinations must correspond to  $q^2$  codewords. (In fact, we may view this subset of codewords as a shortened  $(d+1, 2, d)$  MDS code.) One of these codewords must be the all-zero codeword, since the code is linear. The remaining  $q^2 - 1$  codewords must have weight  $d$  or  $d + 1$ . Since there are  $q - 1$  codewords of weight  $d$  with support in any subset of  $d$  coordinate positions, the number of codewords of weight  $d$  whose support is in any subset of  $d + 1$  coordinate positions is  $\binom{d+1}{d}(q-1)$  (the number of codewords of weight  $d$  in a  $(d+1, 2, d)$  MDS code). So the number of codewords of weight  $d + 1$  in any  $d + 1$  coordinate positions is

$$(q^2 - 1) - \binom{d+1}{d}(q-1).$$

Since there are  $n$  distinct subsets of  $d + 1$  coordinate positions, the given expression for  $N_{d+1}$  follows. □